



Docket No. AM100056 D1  
Patent

DECLARATION FOR UTILITY PATENT APPLICATION

As the below named inventor, I hereby declare that:

TYPE OF DECLARATION

This declaration is of the following type:

- ☐ original
- ☐ design
- ☒ supplemental
- ☐ divisional
- ☐ continuation
- ☐ continuation-in-part (CIP)

INVENTORSHIP IDENTIFICATION

My residence, mailing address and citizenship are as stated below next to my name. I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

TITLE OF INVENTION

TRICYCLIC PROTEIN KINASE INHIBITORS

SPECIFICATION IDENTIFICATION

the specification of which:

- (a) ☐ is attached hereto.
- (b) ☒ was filed on 07/10/2003 as United States Application Number 10/618,044.
- (c) ☐ was described and claimed in PCT International Application No. \_\_\_\_\_,  
filed on \_\_\_\_\_ and as amended under PCT Article 19 on \_\_\_\_ (if any).
- (d) ☒ was amended on 07/10/2003.

**ACKNOWLEDGEMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR**

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations § 1.56.

**PRIORITY CLAIM**

I hereby claim foreign priority benefits under Title 35, United States Code § 119 of any foreign application(s) for patent or inventor's certificate or of any PCT International application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate of any PCT International application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

(e) ☒ no such foreign priority applications have been filed.

(f) ☐ such applications have been filed as follows.

Earliest Foreign Application(s), if any, filed within 12 months  
(6 months for Design) prior to this U.S. Application

Country	Application No.	Date of Filing (Day/Month/Year)	Priority Claimed 35 U.S.C. § 119

All Foreign Application(s), if any, Filed More Than 12 Months  
(6 Months for Design) Prior to This U.S. Application

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CLAIM FOR BENEFIT OF EARLIER U.S. PROVISIONAL  
APPLICATION(S) UNDER 35 U.S.C. § 119(e)

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

APPLICATION NUMBER(S)

60/240,905

U.S. FILING DATE

12/29/1999

CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S)  
UNDER 35 U.S.C. § 120

I hereby claim the benefit under Title 35, United States Code § 120 of any United States application(s) or PCT International application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations § 1.56, which became available between the filing date of the prior application(s) and the national or PCT International filing date of this application.

**PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS  
DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. § 120**

U.S. Applications		Status		
U.S. Application No.	U.S. Filing Date	Patented	Pending	Abandoned
09/751,274	12/29/2000		X	

PCT Applications Designating U.S.		
PCT Application No.	PCT Filing Date	U.S. Serial No. Assigned (if any)

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**IDENTIFICATION OF ENTIRE INVENTIVE ENTITY**

The entire inventive entity is provided as follows:

FIRST JOINT INVENTOR: DAN M. BERGER  
Country of Citizenship: United States of America  
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DECLARATION

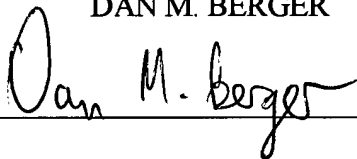
I hereby declare that this supplemental declaration is being filed to correct my citizenship, that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR TO WHOM THE CORRECTION RELATES

PURSUANT TO 37 C.F.R. § 1.67(a)(2)

FIRST JOINT INVENTOR: DAN M. BERGER

Inventor's signature: \_\_\_\_\_



Date: \_\_\_\_\_

9/29/2003

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